

DEC 06 2005

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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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December 6, 2005

FACSIMILE COVER SHEET

To: Examiner Jason Scott Proctor
Art Unit 2123
Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

From: Douglas A. Scholer
Reg. No. 52,197

Re: U.S. Patent Application
Serial No. 09/939,232
Filed: August 24, 2001
Applicant: William Joseph Armstrong et al.
Art Unit: 2123
Confirmation No.: 4082
Our Ref: IBM/182

Fax: 571-273-8300

Enclosures:

Fax Cover Sheet containing Certificate of
Facsimile Transmission (1 page)
Transmittal containing Certificate of Facsimile
Transmission (2 pages)
Amendment and Response (9 pages)

Pages: 12 (including cover sheet)

**MESSAGE/COMMENTS
OFFICIAL****CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence and the enclosures noted herein (12 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Jason Scott Proctor, Art Unit 2123, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 571-273-8300 on December 6, 2005.

Judith L. Volk
Judith L. Volk

December 6, 2005
Date

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PATENT

Att'y Docket No. IBM/182/262
Confirmation No. 4082

CERTIFICATE OF FACSIMILE TRANSMISSION

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Judith L. Volk
Judith L. Volk

December 6, 2005
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al. Art Unit: 2123
Serial No.: 09/939,232 Examiner: Jason Scott Proctor
Filed : August 24, 2001
For : SYSTEM FOR YIELDING TO A PROCESSOR

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is an Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	20	minus	21	0	\$50	\$0.00
Independent Claims	3	minus	3	0	\$200	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$360	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$_____.
5. **The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.**
- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$ 225.00
<input type="checkbox"/>	three months	\$1,020.00	\$ 510.00
<input type="checkbox"/>	four months	\$1,590.00	\$ 795.00
<input type="checkbox"/>	five months	\$2,160.00	\$1,080.00

Extension fee due with this request:

\$ _____

Method of Payment:

Check enclosed in the amount of \$ _____

If an additional extension of time is required, please consider this a petition therefor.


(Check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid thereof of \$_____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$_____.
- OR**
- ☒ (b) **Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.**
6. ☒ **If any additional fee for claims or extension of time is required, charge Account No. 23-3000.**

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


Douglas A. Scherer
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Enclosed:

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Transmittal containing Certificate of Facsimile Transmission (2 pages)
Amendment and Response (9 pages)

Page 2 of 2
Serial No. 09/939,232
Transmittal for Amendment of December 6, 2005
IBM Docket: ROC920000314US1
WH&E IBM/182
K:\hw\182\Amendments and Responses\9-6-05 OA Transmittal.wpd

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PATENT

IBM/182
Confirmation No. 4082

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al. Art Unit: 2123
Serial No.: 09/939,232 Examiner: Jason Scott Proctor
Filed: August 24, 2001 Atty. Docket No.: IBM/182
For: SYSTEM FOR YIELDING TO A PROCESSOR

AMENDMENT AND RESPONSE

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Office Action dated September 6, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 6 of this paper.

Page 1 of 9
Serial No. 09/939,232
Amendment and Response dated December 6, 2005
Reply to Office Action of September 6, 2005
IBM Docket ROC920000314US1
WH&E IBM/182
K:\ibm\182\Amendment and Response re 9-6-05 OA.wpd